| SEC Form 4 |  |
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Instruction 1(b).

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| Estimated average burden |           |  |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |  |

| STATEMENT OF CHANGES IN BENEFICIAL OWNERSHI                            | Ρ |
|--|---|
| Filed nursuant to Section 16(a) of the Securities Exchange Act of 1034 |   |

or Section 30(b) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*   2. Issuer Name and Ticker or Trading Symbol   5. Relationship of Reporting Person(s) to Is     Little Teri A.   APPLIED MATERIALS INC /DE [ AMAT ]   5. Relationship of Reporting Person(s) to Is     (Last)   (First)   (Middle)   3. Date of Earliest Transaction (Month/Day/Year)   5. Relationship of Reporting Person(s) to Is     2/07/2023   3. Date of Earliest Transaction (Month/Day/Year)   6. Individual or Joint/Group Filing (Check Address Addres |                   |
|---|-------------------|
| (Last)   (First)   (Middle)   3. Date of Earliest Transaction (Month/Day/Year)   Deference   Defere<  | Owner<br>(specify |
| Line)   | below)            |
| (Street) Form filed by More than One Repo   | son               |
| SANTA<br>CLARA CA 95052-8039 Person   Rule 10b5-1(c) Transaction Indication   |                   |
| (City) (State) (Zip) Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is inter-<br>satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.   | ended to          |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code (Instr.<br>8) |   |                       |               |                   | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|---------------------------------|--|---|---|---|-----------------------|---------------|-------------------|---|---|---|--|
|                                 |  |   | Code                                    | v | Amount                | (A) or<br>(D) | Price             | Transaction(s)<br>(Instr. 3 and 4)  |   | (1130.4)  |  |
| Common Stock                    | 12/07/2023                                 |   | A                                       |   | 12,486 <sup>(1)</sup> | A             | \$ <mark>0</mark> | 82,055 <sup>(2)(3)</sup>  | D   |   |  |
| Common Stock                    | 12/07/2023                                 |   | A                                       |   | 10,951(4)             | A             | \$ <u>0</u>       | 93,006 <sup>(2)(3)</sup>  | D   |   |  |
| Common Stock                    | 12/07/2023                                 |   | A                                       |   | 10,951(5)             | A             | \$ <u>0</u>       | 103,957 <sup>(2)(3)</sup>   | D   |   |  |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

|   |   |  |   |                              |   |   | warrants, options, convertible securities) |  |                    |   |  |   |  |  |  |
|---|---|--|---|------------------------------|---|---|--|--|--------------------|---|--|---|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4<br>and 5) |  | 6. Date Exerc<br>Expiration Da<br>(Month/Day/Y | ate                | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |   |  |   | Code                         | v | (A)   | (D)  | Date<br>Exercisable                            | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |

Explanation of Responses:

1. Represents performance share units acquired based on achievement of specified performance goals related to performance share units previously granted. This acquisition is exempt under Rule 16b-3. The shares are scheduled to vest on December 19, 2023, subject to continued employment through the vesting date

2. Increased number of shares reflects periodic payroll acquisitions under Employees' Stock Purchase Plan that are exempt under Rule 16a-3 and Rule 16b-3. Number of shares includes 54,594 performance share units and restricted stock units previously reported that in the future will be converted on a one-for-one basis into shares of Applied Materials, Inc. ("Applied") common stock upon vesting, which vesting is scheduled to occur as follows: (a) 12,486 performance share units are scheduled to vest in December 2023, (b) 21,855 restricted stock units are scheduled to vest in installments in December of 2023 through 2025, and (continued below)

3. (c) 20,253 performance share units are scheduled to vest in installments in December of 2024 and 2025, which number of shares is the target amount, and the actual number of shares that may vest ranges from 0% to 200% of the target amount, depending on achievement of specified performance goals (all vesting is subject to continued employment through each applicable vesting date).

4. Represents performance share units that will be converted on a one-for-one basis into shares of Applied common stock upon vesting, which vesting is scheduled to occur on December 19, 2026, depending on the achievement of specified performance goals and continued employment through the vesting date. The number of shares shown is the target amount, and the actual number of shares that may vest ranges from 0% to 200% of the target amount, depending on the achievement of specified performance goals.

5. Represents restricted stock units that will be converted on a one-for-one basis into shares of Applied common stock upon vesting, which vesting is scheduled to occur in three equal annual installments beginning December 19, 2024, subject to continued employment through each applicable vesting date



in-Fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.